

PTO/SB/09 (12-97)

Approved for use through 9/30/00. OMB 0651-0031

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

1401 Wilson Boulevard, Suite 100

Arlington, VA 22209

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR Docket Number (Optional) 9(f) & 1.27(c))-SMALL BUSINESS CONCERN 063223/0121 pplicant, Patentee, or Identifier: Martin L. Lenhardt Application or Patent No.: 09/822,841 Filed or Issued: April 2, 2001 Title: TINNITUS MASKER/SUPPRESSOR I hereby state that I am the owner of the small business concern identified below: an official of the small business concern empowered to act on behalf of the concern identified below: NAME OF SMALL BUSINESS CONCERN SOUND TECHNIQUES SYSTEMS, L.L.C.

ADDRESS OF SMALL BUSINESS CONCERN

the application identified above.

×

the specification filed herewith with title as listed above.

no such person, concern, or organization exists.

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

	the patent identified above.		
	•		
			•
ghts in	If the rights held by the above identifie the invention must file separate statemen		

rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9 (d), or a non profit organization under 37 CRF 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Lane Giardina

TITLE OF PERSON IF OTHER THAN OWNER Senior Vice President

ADDRESS OF PERSON SIGNING 1401 Wilson Boulevard, Suite 100, Arlington, VA 22209

SIGNATURE DATE 7/2/07

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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STAT	TEMENT CLAIMING SMALL ENTITY STATUS (37 (FR Docket Number (Optional)	
SIA	LEMENT CLAUMING SMALL ENTITT STATUS (5/ (Jocket Number (Optional)	
<u> 벨</u> (·9(I)) & 1.27(c))-SWIALL BUSINESS CONCERN	063223/0121	
Opplic	ant, Patentee, or Identifier: Martin L. Lenhardt		
Applic	ation or Patent No.: 09/822,841		
<u></u>	or Issued: April 2, 2001		
Title:	TINNITUS MASKER/SUPPRESSOR		
I hereb	by state that I am		
	the owner of the small business concern identified below:		
X	an official of the small business concern empowered to act on behalf of	he concern identified below:	
420	21. 01. 21. 01. 21. 01. 21. 01. 01. 01. 01. 01. 01. 01. 01. 01. 0		
NAME	E OF SMALL BUSINESS CONCERN SOUND TEC	HNIQUES SYSTEMS, L.L.C.	
ADDR	RESS OF SMALL BUSINESS CONCERN 1401 Wilson	Boulevard, Suite 100	
	Arlington, V	A 22209	
I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.			
.	I hereby state that rights under contract or law have been conveyed to	nd remain with the small business concern identified above	
with regar	rd to the invention described in:		
_			
	the specification filed herewith with title as listed above.		
×	the application identified above.		
	the patent identified above.		
If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9 (d), or a non profit organization under 37 CRF 1.9(e).			
	Each person, concern, or organization having any rights in the invention no such person, concern, or organization exists. each such person, concern, or organization is listed below.	is listed below:	
Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)			
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))			
NAME OF PERSON SIGNING Lane Giardina			
	TITLE OF PERSON IF OTHER THAN OWNER Senior Vice President		
ADDRESS OF PERSON SIGNING 1401 Wilson Boulevard, Suite 100, Arlington, VA 22209			
		ATE 6/22/2001	
510117			

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Atty. Dkt. No. 063223/0121



DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I HEREBY DECLARE:

THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

TINNITIS MASKING/SUPPRESSOR (Attorney Docket No. 063223/0121) the specification of which (check one) is attached hereto. X was filed on April 2, 2001 as United States Application Number or Serial Number 09/822,841.

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.



I HEREBY CLAIM foreign priority benefits under Title 35, United States Code §119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number	Country	Foreign Filing Date	Priority Claimed?	Certified Copy Attached?

I HEREBY CLAIM the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

U.S. Provisional Application Number	Filing Date
60/104,233	October 14, 1998

I HEREBY CLAIM the benefit under Title 35, United States Code, §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Application Number	Parent Filing Date	Parent Patent Number
09/417,772		October 14, 1999	

I HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY & LARDNER:

STEPHEN A. BENT	Reg. No.	29,768
DAVID A. BLUMENTHAL	Reg. No.	26,257
BETH A. BURROUS	Reg. No.	35,087
ALAN I. CANTOR	Reg. No.	28,163
WILLIAM T. ELLIS	Reg. No.	26,874
JOHN J. FELDHAUS	Reg. No.	28,822
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SYBIL MELOY	Reg. No.	22,749	
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BERNHARD D. SAXE	Reg. No.	28,665	
CHARLES F. SCHILL	Reg. No.	27,590	
RICHARD L. SCHWAAB	Reg. No.	25,479	
MICHELE M. SIMKIN	Reg. No.	34,717	
HAROLD C. WEGNER	Reg. No.	25,258	

to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith.

I request that all correspondence be directed to:

George E. Quillin FOLEY & LARDNER Washington Harbour 3000 K Street, N.W., Suite 500 Washington, D.C. 20007-5109

Telephone:

(202) 672-5413

Facsimile:

(202) 672-5399

I UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Name of first inventor	Martin L. LENHARDT
Residence	Hayes, Virginia
Citizenship	U.S.A
Post Office Address	1608 Mouring Lane, Hayes, Virginia 23072
Inventor's signature	
Date	G \$8/01